

07/09/03  
16152 U.S. PTO

Please type a plus sign (+) inside this box ☒

PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 763-37  
First Inventor Yoshizawa  
Title EYEGASSES CASE  
Express Mail Label No. EV333227460US

17410 U.S. PTO  
10/615656

07/09/03

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 9]  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 4]
5. Oath or Declaration [Total Pages ]
  - a. ☐ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 CFR 1.63 (d))  
(for continuation/divisional with Box 18 completed)
  - i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Commissioner for Patents  
P. O. Box 1450  
Arlington, VA 22313-1450

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
  - a. ☐ Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ paper
  - c. ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement [Power of Attorney]  
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 [Copies of IDS Citations]
13. ☒ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☒ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☒ Other: CHECK FOR \$750


18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_  
Prior application information: Examiner \_\_\_\_\_ Group Art Unit: \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

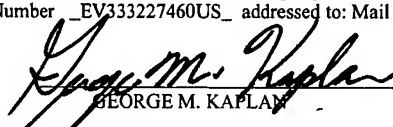
<input type="checkbox"/> Customer Number or Bar Code Label	<input checked="" type="checkbox"/> Correspondence address below	
Name ROCCO S. BARRESE, ESQ.		
Address DILWORTH & BARRESE, LLP		
333 Earle Ovington Blvd.		
City Uniondale	State New York	Zip Code 11553
Country U.S.A	Telephone (516) 228-8484	Fax (516) 228-8516

Name (Print/Type) GEORGE M. KAPLAN	Registration No. (Attorney/Agent) 28,375
Signature 	Date JULY 9, 2003

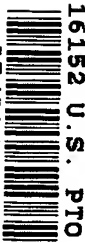
CERTIFICATION UNDER 37 C.F.R. § 1.10

I hereby certify that this correspondence and the documents referred to as enclosed are being deposited with the United States Postal Service on date below in an envelope as "Express Mail Post Office to Addressee" Mail Label Number EV333227460US\_ addressed to: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Dated: JULY 9, 2003

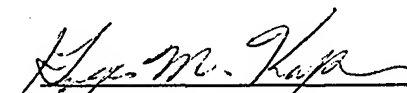
  
GEORGE M. KAPLAN

07/09/03



- ☒ A check in the amount of \$ 750.00 to cover the  
[ ] recording, [X] filing fee(s) is attached.
- [ ] Charge fee to Deposit Account No. 04-1121. Order No.  
TWO (2) COPIES OF THIS SHEET ARE ENCLOSED.
- ☒ Please charge any deficiency as well as any other fee(s)  
which may become due under 37 C.F.R. § 1.16 and 1.17, at any time during  
the pendency of this application, or credit any overpayment of such fee(s) to  
Deposit Account No. 04-1121. Also, in the event any extensions of time for  
responding are required for the pending application(s), please treat this  
paper as a petition to extend the time as required and charge Deposit  
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Date: July 9, 2003

  
George M. Kaplan  
Reg. No. 28,375

DILWORTH & BARRESE  
333 Earle Ovington Blvd.  
Uniondale, NY 11553  
Tel. No. (516) 228-8484  
Fax. (516) 228-8516

Atty. Docket No. 763-37

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Commissioner for Patents  
United States Patent and Trademark Office  
P.O. Box 1450  
Alexandria VA 22313-1450

# UTILITY APPLICATION FEE TRANSMITTAL

Sir:

Transmitted herewith for filing is the patent application of

Inventor(s): Takashi Yoshizawa

For: EYEGLASSES CASE

Enclosed are:

**[x]**7 page(s) of specification

**[x] 1** page(s) of Abstract

**[x] 1** page(s) of claims

**[x] 4** sheets of drawings [x] formal [ ] informal (**FIGS 1-5**)

**X** 2 page(s) of Preliminary Amendment

[ ]\_ page(s) of Declaration and Power of Attorney

[ ] An Assignment of the invention to\_\_\_\_\_

**CERTIFICATION UNDER 37 C.F.R. § 1.10**

I hereby certify that this correspondence and the documents referred to as enclosed are being deposited with the United States Postal Service on date below in an envelope as "Express Mail Post Office to Addressee" Mail Label Number **EV333227460US** addressed to: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria,. VA 22313-1450 on July 9, 2003

  
GEORGE M. KAPLAN

- ☐ This application claims the benefit under 35 U.S.C. §119(e) of U.S. Provisional Application(s) No(s).:

APPLICATION NO(S).:

FILING DATE

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

- ☒ Certified copy of application(s)

<u>Country</u>	<u>Appln. No.</u>	<u>Filed</u>
JAPAN	2002-201180	JULY 10, 2002

from which priority under Title 35 United States Code, § 119 is claimed

☒ enclosed.

☐ will follow.

CALCULATION OF UTILITY APPLICATION FEE\*

<u>For</u>	<u>Number Filed</u>	<u>Number Extra</u>	<u>Rate</u>	<u>Basic Fee</u>
Total				\$ 750.00
Claims*	3	-20 = 0	x \$ 18.00	\$ 0 .00
Independent				
Claims	1	-3 = 0	x \$ 84.00	\$ .00
Multiple	<input type="checkbox"/> yes	Add'l. Fee	\$280.00	\$
Dependent				
Claims	<input checked="" type="checkbox"/> no	Add'l. Fee	None	= \$
TOTAL				\$ 750.00

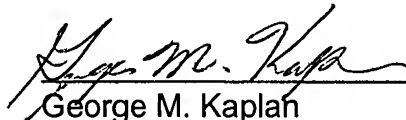
- ☐ Small Entity Status Is Claimed Under 37 C.F.R. § 1.27. Reduced fees under 37 C.F.R. § 1.9(f) (50% of total) paid herewith \$\_\_\_\_\_.

- ☐ The amount of \$40.00 for recording the attached Assignment is included in a separate check.

\* includes all independent and single dependent claims and all claims referred to in multiple claims. See 37 C.F.R. § 1.75(c).

- [x] A check in the amount of \$ 750.00 to cover the  
[ ] recording, [X] filing fee(s) is attached.
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TWO (2) COPIES OF THIS SHEET ARE ENCLOSED.
- [X] Please charge any deficiency as well as any other fee(s)  
which may become due under 37 C.F.R. § 1.16 and 1.17, at any time during  
the pendency of this application, or credit any overpayment of such fee(s) to  
Deposit Account No. 04-1121. Also, in the event any extensions of time for  
responding are required for the pending application(s), please treat this  
paper as a petition to extend the time as required and charge Deposit  
Account No. 04-1121 therefor. TWO (2) COPIES OF THIS SHEET ARE  
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